

Chartered Banker



ENHANCING CULTURE AND CONDUCT IN BANKING

We understand that building human capital is as important for banks as maintaining financial capital. Our long history of embedding professional ethics and expertise means we are well placed to complement and support the work of regulators and banks to enhance and sustain customer-focused, ethical professionalism in banking. This encompasses the Senior Managers Regime, Certification Regime and a new set of Individual Conduct Rules.

In 2014, the Prudential Regulatory Authority and Financial Conduct Authority set out their proposals for:

- A Senior Managers Regime to cover a narrow range of individuals with significant responsibility within banks and other relevant firms
- A Certification Regime to cover 'material risk takers' not included within the Senior Managers regime, individuals who could cause harm to consumers, and individuals in customer-facing roles subject to qualification requirements
- New Individual Conduct Rules, which must be complied with by the majority of bank employees.

Meeting the requirements of the Certification and Senior Managers Regimes

The Chartered Banker Institute can help organisations, and relevant individuals, prepare for and meet the requirements of the Certification and Senior Managers Regimes, via a range of resources, including:

- A unique Certified Bank Director programme, offered in partnership with the Institute of Banking in Ireland, preparing executive and non-executive directors for governance roles
- Certificates of Professionalism, aligned to the regulatory requirements and issued by the Institute to members meeting our requirements for competence, behaviour, fitness and propriety
- A wide portfolio of professional banking qualifications leading to Chartered Banker status, supporting the development and demonstration of competence for a wide variety of roles
- Executive education programmes, including the Chartered Banker MBA, offered in partnership with a growing range of UK academic partners

- Implementing the Chartered Banker: Professional Standards Board Leadership Standard and Professional Standards for Professional Bankers, which can help give evidence of an individual's knowledge, skills and behaviours to regulators
- Annual re-accreditation and certification of individuals through ongoing professional membership of the UK's banking institute, supported by a wide range of continuing professional development (CPD) resources including an Ethics, Culture and Conduct. Launched in 2014, the toolkit contains more than 12 hours of audio, video, e-learning and other materials
- Working with the Institute via bespoke consultancy, learning, e-learning and regulatory solutions.

Implementing the Individual Conduct Rules

The Chartered Banker Institute can help organisations to implement the Financial Conduct Authority and Prudential Regulation Authority's new Conduct Rules, meeting not just the letter but, more importantly, the spirit of the new requirements. We help organisations to enhance and sustain a culture of customer-focused, ethical banking professionalism via a wide range of resources, including:

- The revised Chartered Banker Code for Institute members, which has been mapped to the new Conduct Rules
- The revised Foundation Standard for Professional Bankers, which supports and gives evidence of the implementation of the new Conduct Rules, taking account of particular roles
- The Professional Banker Certificate, the UK banking benchmark introductory qualification, now updated to reflect the new Conduct Rules
- Accreditation and development of existing learning and development programmes
- Working with us via bespoke consultancy, learning, e-learning and regulatory solutions.